


































## Internal Audit Annual Plan 2009/10 - Final Outturn at 31/03/10

Work Allocations		Actuals to 31/03/10	Status at 31/03/10
Job No	Title		
<b>1. ASSURANCE WORK</b>			
<b>Core Financial Systems</b>			
09/0715	Asset Management	1.7	
09/0739	Creditors	5.4	
09/0750	Academy/Civica Interfaces	17.5	
09/0752	Main Accounting	3.2	
09/0772	Value Added Tax	11.6	
09/0776	Payroll 2009/10	7.0	
09/0778	Sundry Debtors 2009/10	7.8	
09/0779	Housing Rents 2009/10	12.0	
09/0781	Council Tax 2009/10	7.0	
09/0782	NDR 2009/10	7.2	
09/0786	Treasury Management	4.1	
<b>Sub-total - Core Financial Systems</b>		<b>84.5</b>	
<b>Core Management Arrangements</b>			
08/0703	Fraud & Corruption Arrangements	6.8	
08/0721	National Fraud Initiative 2008/09	11.5	
08/0761	Financial Management	5.8	
09/0773	Performance Management	4.9	
09/0774	Ethical Governance Framework and Survey	26.9	
09/0777	Project Management	0.7	
<b>Sub-total - Core Management Arrangements</b>		<b>56.6</b>	
<b>Risk Based Assurance Work Programme</b>			
08/0129	Contracts Audit - Final Accounts	0.3	
08/0714	Fair Pay Project	32.5	
08/0723	Arts Development	1.6	
08/0725	Member Expenses & Civic Functions	2.5	
08/0728	Enforcement	6.2	
08/0730	Climate Change	42.4	
08/0733	Planning for Floods	0.5	
08/0749	Sustainable Travel	3.0	
08/0753	Williamson's Park Financial Procedures	0.9	
08/0754	Mod.Gov System	10.2	
08/0755	Contaminated Land	15.2	
08/0759	Housing Standards	18.8	
09/0738	Information Security	16.4	
09/0775	Customer Services	10.5	
09/0785	LAA 2006-2009 Performance Related Grant Claim	2.9	
<b>Sub-total - Risk Based Assurance Work</b>		<b>163.9</b>	
<b>Follow-Up Reviews</b>		<b>91.4</b>	
<b>SUB-TOTAL - ASSURANCE WORK</b>		<b>396.4</b>	
<b>2. CONSULTANCY WORK</b>			
<b>Support Work (projects and other)</b>			

## Internal Audit Annual Plan 2009/10 - Final Outturn at 31/03/10

Work Allocations		Actuals to 31/03/10	Status at 31/03/10
Job No	Title		
08/0509	RIPA Monitoring	4.8	∞
08/0633	Risk Management Steering Group	1.3	∞
08/0700	Corporate Governance Framework Development	1.6	∞
08/0735	Partnership Mapping and Evaluation	32.1	✓
08/0740	Procedures for Changing Conditions of Service	0.2	✓
08/0744	Anti-Money Laundering Policy Development	5.1	✓
09/0768	Programme Management and External Funding	9.3	✓
09/0767	Use of Resources 2008/09	29.1	✓
09/0769	Corporate Procurement Arrangements	3.3	∞
<b>Sub-total - Support Work</b>		<b>86.8</b>	
<b>Efficiency &amp; VfM</b>			
09/0770	Value For Money Strategy Review	8.3	⚠
<b>Sub-total - Efficiency &amp; VfM</b>		<b>8.3</b>	
<b>Ad-Hoc Advice</b>		<b>65.7</b>	∞
<b>SUB-TOTAL - CONSULTANCY WORK</b>		<b>160.8</b>	
<b>3. OTHER</b>			
00/0392	Deputy s151 Duties	14.2	∞
08/0760	Fair Pay Project - Pay Modelling Work	16.2	✓
<b>SUB-TOTAL - OTHER</b>		<b>30.4</b>	
<b>4. AUDIT MANAGEMENT</b>			
08/0172	Committee Work	35.7	∞
08/0189	Audit Planning and Monitoring	34.3	∞
<b>SUB-TOTAL - AUDIT MANAGEMENT</b>		<b>70.0</b>	
<b>5. CONTINGENCIES</b>			
<b>Investigations</b>		<b>239.5</b>	⚠
<b>General Contingency</b>		<b>0.0</b>	
<b>SUB-TOTAL - CONTINGENCIES</b>		<b>239.5</b>	
<b>TOTALS</b>		<b>897.1</b>	

Key: ✓ Completed

⚠ In Progress

∞ Continuous or Multi-Year Activity